

Format of Holding of Specified securities

1. **Name of Listed Entity: OPTO CIRCUITS (INDIA) LIMITED** ✓
2. Scrip Code/Name of Scrip/Class of Security: 532391, OPTOCIRCU, EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2016 ✓
b. if under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

Particulars	YES*	NO*
a. Whether the Listed Entity has issued any partly paid up shares		✓
b. Whether the Listed Entity has issued any Convertible Securities or Warrants?		✓
c. Whether the Listed Entity has any shares against which depository receipts are issued?		✓
d. Whether the Listed Entity has any shares in locked-in?		✓
e. Whether any shares held by promoters are pledged or otherwise encumbered?		✓

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:



Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)
(A)	Promoter & Promoter Group	4	68445890	0	0	68445890	28.25
(B)	Public	100144	173873517	0	0	173873517	71.75
(C)	Non Promoter-Non Public						
(C1)	Shares underlying DRs	0	0	0	0	0	0 NA
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00
	Total:	100148	242319407	0	0	242319407	100.00



Number of Voting Rights held in each class of securities		No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
Class X	Class Y			No.	As a % of total Shares held	No.	As a % of total Shares held	
No of Voting Rights		Total as a % of (A+B+C)						
(IX)		(X)	(XI)	(XII)	(XIII)	(XIV)		
68445890	0	68445890	28.25	0	0.00	0	0.00	68445890
173873517	0	173873517	71.75	0	0.00	NA	NA	172937878
0	0	0	0.00	0	0.00	NA	NA	0
0	0	0	0.00	0	0.00	NA	NA	0
242319407	0	242319407	100.00	0	0.00	0	0.00	241383768

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)
(1)	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)
(a)	Indian Individuals/Hindu undivided Family JAYESH PATEL		3	55444740	0	0	55444740	22.88
	VINOD PARASRAM RAMNANI	AABPP8199G	1	11815983	0	0	11815983	4.88
	USHA VINOD RAMNANI	AANPR1062Q	1	34043581	0	0	34043581	14.05
(b)	Central Government/State Government(s)	AANPR1067M	1	9585176	0	0	9585176	3.96
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00
(d)	Any Other		0	0	0	0	0	0.00
	Sub-Total (A)(1)		3	55444740	0	0	55444740	22.88
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		1	13001150	0	0	13001150	5.37
	THOMAS DIETIKER	ALMPD5485F	1	13001150	0	0	13001150	5.37
(b)	Government		0	0	0	0	0	0.00
(c)	Institutions		0	0	0	0	0	0.00
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00
(e)	Any Other		0	0	0	0	0	0.00
	Sub-Total (A)(2)		1	13001150	0	0	13001150	5.37
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	68445890	0	0	68445890	28.25

Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
Class X	Class Y	Total				No.	As a % of total Shares held	No.	As a % of total Shares held	
No of Voting Rights			(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)		
5544740	0	5544740	22.88	0	22.88	0	0.00	0	0.00	5544740
11815983	0	11815983	4.88	0	4.88	0	0.00	0	0.00	11815983
34043581	0	34043581	14.05	0	14.05	0	0.00	0	0.00	34043581
9585176	0	9585176	3.96	0	3.96	0	0.00	0	0.00	9585176
0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
5544740	0	5544740	22.88	0	22.88	0	0.00	0	0.00	5544740
13001150	0	13001150	5.37	0	5.37	0	0.00	0	0.00	13001150
13001150	0	13001150	5.37	0	5.37	0	0.00	0	0.00	13001150
0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
13001150	0	13001150	5.37	0	5.37	0	0.00	0	0.00	13001150
68445890	0	68445890	28.25	0	28.25	0	0.00	0	0.00	68445890



Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)
(1)	Institutions							
(a)	Mutual Funds		0	0	0	0	0	0.00
(b)	Venture Capital Funds		0	0	0	0	0	0.00
(c)	Alternate Investment Funds		0	0	0	0	0	0.00
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00
(e)	Foreign Portfolio Investors		10	1876574	0	0	1876574	0.77
(f)	Financial Institutions/Banks		10	4512451	0	0	4512451	1.86
(g)	LIFE INSURANCE CORPORATION OF INDIA	AAACL0582H	4	3320434	0	0	3320434	1.37
(h)	Insurance Companies		0	0	0	0	0	0.00
(i)	Provident Funds/Pension Funds		0	0	0	0	0	0.00
(j)	Any Other							
	FOREIGN NATIONALS		1	39	0	0	39	0.00
	Sub Total (B)(1)		21	6389064	0	0	6389064	2.64
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00
	Sub Total (B)(2)		0	0	0	0	0	0.00
(3)	Non-Institutions							
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		96385	84621409	0	0	84621409	34.92
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2		662	42006383	0	0	42006383	17.34
(b)	NBFCs Registered with RBI		9	130637	0	0	130637	0.05
(c)	Employee Trusts		0	0	0	0	0	0.00
(d)	Overseas Depositories (Holding DRs)(Balancing figure)		0	0	0	0	0	0.00
(e)	Any Other							
	TRUSTS		3	4558	0	0	4558	0.00
	NON RESIDENT INDIANS		1356	16964775	0	0	16964775	7.00
	PUSHPA BOSE	AKKPB4375M	1	2778061	0	0	2778061	1.15
	CLEARING MEMBERS		191	1194231	0	0	1194231	0.49
	NON RESIDENT INDIAN NON REPATRIABLE		395	880706	0	0	880706	0.36
	BODIES CORPORATES		1121	21670467	0	0	21670467	8.94
	FRACTIONAL SHARES		1	11287	0	0	11287	0.00
	Sub Total (B)(3)		100123	167484453	0	0	167484453	69.12
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		100144	173873517	0	0	173873517	71.75

Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
Class X	Class Y	Total				No.	As a % of total Shares held		
		(IX)		(X)	(XI)	(XII)	(XIII)	(XIV)	
0	0	0	0.00	0	0.00	0	0.00	NA	0
0	0	0	0.00	0	0.00	0	0.00	NA	0
0	0	0	0.00	0	0.00	0	0.00	NA	0
0	0	0	0.00	0	0.00	0	0.00	NA	0
1876574	0	1876574	0.77	0	0.77	0	0.00	NA	1876574
4512451	0	4512451	1.86	0	1.86	0	0.00	NA	4512451
3320434	0	3320434	1.37	0	1.37	0	0.00	NA	3320434
0	0	0	0.00	0	0.00	0	0.00	NA	0
0	0	0	0.00	0	0.00	0	0.00	NA	0
39	0	39	0.00	0	0.00	0	0.00	NA	39
6389064	0	6389064	2.64	0	2.64	0	0.00	NA	6389064
0	0	0	0.00	0	0.00	0	0.00	NA	0
0	0	0	0.00	0	0.00	0	0.00	NA	0
84621409	0	84621409	34.92	0	34.92	0	0.00	NA	84335933
42006383	0	42006383	17.34	0	17.34	0	0.00	NA	41712686
130637	0	130637	0.05	0	0.05	0	0.00	NA	130637
0	0	0	0.00	0	0.00	0	0.00	NA	0
0	0	0	0.00	0	0.00	0	0.00	NA	0
4558	0	4558	0.00	0	0.00	0	0.00	NA	4558
16964775	0	16964775	7.00	0	7.00	0	0.00	NA	16623463
2778061	0	2778061	1.15	0	1.15	0	0.00	NA	2778061
1194231	0	1194231	0.49	0	0.49	0	0.00	NA	1194231
880706	0	880706	0.36	0	0.36	0	0.00	NA	880706
21670467	0	21670467	8.94	0	8.94	0	0.00	NA	21666600
11287	0	11287	0.00	0	0.00	0	0.00	NA	0
167484453	0	167484453	69.12	0	69.12	0	0.00	NA	166548814
173873517	0	173873517	71.75	0	71.75	0	0.00	NA	172937878

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)
(1)	(i) Custodian/DR Holder	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regu		0	0	0	0	0	0.00
			0	0	0	0	0	0.00
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00



Number of Voting Rights held in each class of securities		No of Shares Underlying Outstanding convertible securities (Including Warrants)		Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
					No.	As a % of total Shares held			
Total as a % of (A+B+C)		(X)		(XI)	(XII)	No.	As a % of total Shares held	(XIII)	(XIV)
Class X	Class Y	Total							
0	0	0	0	0.00	0	0	0.00	NA	0
0	0	0	0	0.00	0	0	0.00	NA	0
0	0	0	0	0.00	0	0	0.00		
0	0	0	0	0.00	0	0	0.00		0



Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0



Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	No of shares
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